

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/740,679
				Filing Date	December 19, 2000
				First Named Inventor	J. Stuart Cumming
				Art Unit	3732
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	HO-P02087USTECHNOLOGY CENTER R3700

RECEIVED
DEC - 2 2002

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Notice of Opposition to a European Patent; EP 0 715 509 B1	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	12/27/02
-----------------------	--	--------------------	----------

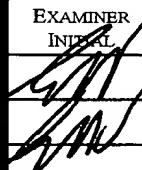


Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Docket No. (Optional)
P-02087US1Application Number
09/740,679Applicant:
J. Stuart CummingFiling Date:
December 19, 2000Group Art Unit
3732**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	7	1	8	9	0	4	01/12/8	Thornton	623	6	Jan. 15, 1986
	4	7	0	4	1	2	3	11/03/8	Smith	623	6	July 2, 1986

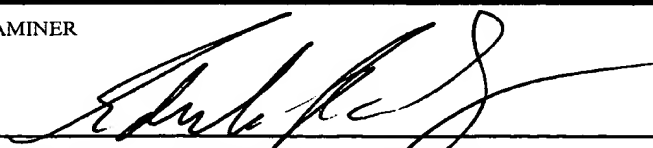
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next

FOREIGN PATENT DOCUMENT(S)

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER



DATE CONSIDERED

4/30/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

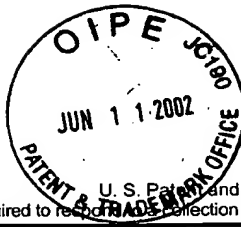
2

Complete if Known

Application Number	09/740,679
Filing Date	December 19, 2000
First Named Inventor	J. Stuart Cumming
Art Unit	3732
Examiner Name	Not Yet Assigned
Attorney Docket Number	HO-P02088 US1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
SM	AA	4,174,543-	11-20-1979	Kelman	
	AB	4,244,060-	01-13-1981	Hoffer	
	AC	4,254,509-	03-10-1981	Tennant	
	AD	4,254,510-	03-10-1981	Tennant	
	AE	4,298,996-	11-10-1981	Barnet	
	AF	4,304,012-	12-08-1981	Richard	
	AG	4,409,691-	10-18-1983	Levy	
	AH	4,424,597-	01-10-1984	Schlegel	
	AI	4,441,217-	04-10-1984	Cozean, Jr.	
	AJ	4,477,931-	10-23-1984	Kelman	
	AK	4,573,998-	03-04-1986	Mazzocco	
	AL	4,585,457-	04-29-1986	Kalb	
	AM	4,605,411-	08-12-1986	Fedorov et al.	
	AN	4,629,462-	12-16-1986	Feaster	
	AO	4,664,666-	05-12-1987	Barrett	
	AP	4,673,406-	06-16-1987	Schlegel	
	AQ	4,704,123-	11-03-1987	Smith	
	AR	4,718,904-	01-12-1988	Thornton	
	AS	4,738,680-	04-19-1988	Herman	
	AT	4,753,655-	06-28-1988	Hecht	
	AU	4,759,761-	07-26-1988	Portnoy	
	AV	4,778,463-	10-18-1988	Hetland	
	AW	4,813,955-	04-21-1989	Achatz et al.	
	AX	4,816,030-	03-28-1989	Robinson	
	AY	4,840,627-	06-20-1989	Blumenthal	
	AZ	4,842,601-	06-27-1989	Smith	
	AA1	4,880,427-	11-14-1989	Anis	
	AB1	4,936,850-	06-26-1990	Barrett	
	AC1	4,963,148-	10-16-1990	Sule et al.	
	AD1	4,994,082-	02-19-1991	Richards et al.	
	AE1	5,047,051-	09-10-1991	Cumming	
	AF1	5,078,742-	01-07-1992	Dahan	
	AG1	5,141,507-	08-25-1992	Parekh	
	AH1	5,171,319-	12-15-1992	Keates et al.	
	AI1	5,217,490-	06-08-1993	Sayano et al.	
	AJ1	5,376,115-	12-27-1994	Jansen	
	AK1	5,476,514-	12-19-1995	Cumming	
	AL1	5,496,366-	03-05-1996	Cumming	
	AM1	5,522,891-	06-04-1996	Klaas	
	AN1	5,578,078-	11-26-1996	Nakajima et al.	
	AO1	5,674,282-	10-07-1997	Cumming	



PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to the collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/740,679		
		Filing Date	December 19, 2000		
		First Named Inventor	J. Stuart Cumming		
		Art Unit	3732		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	HO-P02087US1

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
JMV JMV	BA	-0336877B1-	10-27-1993	Herman, Wesley		
	BB	UK 2 171 912		Simcoe		

Examiner Signature		Date Considered	12/27/02
-----------------------	--	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JMV	CA	Spencer Thornton, "Accommodating in Pseudophakia", Color Atlas of Lens Implantation, Chapter 25, pp. 159-162.	

Examiner Signature		Date Considered	12/27/02
-----------------------	--	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

AUG

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

(use as many sheets as necessary)

1

of

1

HO-P02087US1

RECEIVED
AUG - 8 2002
TECHNOLOGY CENTER PC700

25178419.1